

UPWP Review Questions from and Answers to FTA

UPWP Development, Contents and Task Descriptions

1. Was the UPWP prepared in cooperation with transit operators or with the State? (23 CFR 450.308(c)).
Yes, YMPO worked with the staff at the Arizona Department of Transportation's (ADOT) Southwest District Engineer's office and with the Director of the Yuma County Intergovernmental Public Transportation Authority (YCIPTA) during the production of this UPWP.
2. Does the UPWP include a discussion of the planning priorities facing the metropolitan area? (23 CFR 450.308(c)).
Yes, the planning priorities are outlined in the three locations of the UPWP, during a discussion of the emphasis areas, and during the selection of 5310 grant requests and awards.
3. Are individual, discrete Tasks identified for the next 1-2 year period? (23 CFR 450.308(c)).
Yes, the majority of the UPWP pages focus on these, from page 34 through 124.
4. For each Task, are the agencies responsible for performing the activities identified? (23 CFR 450.308(c)).
Yes. However, the agency concerned is always YMPO, except for the Short Range Transit Plan, which is being carried out substantially by YCIPTA, with YMPO funding.
5. For each Task, does the description clearly describe proposed work activities? (23 CFR 450.308(c)).
Yes, the work activities are outlined in detail, except for the studies when the details are outlined in the appropriate Scope of Work, contained in each Request for Proposals/Qualifications (RFP/Q).
6. Are final products listed for each Task? (23 CFR 450.308(c)).
Yes, end products are listed for all work tasks.
7. Is a schedule for product delivery provided for each Task? (23 CFR 450.308(c)).
Yes, originally scheduled were not included in the UPWP, but we had them available as part of our internal cash-flow effort, so they have now been added.
8. Are incomplete Tasks from the prior UPWP identified? Is a reasonable explanation provided for their delay ? (FTA Circular 8100.1D (p. II-4, item 4f)).
Yes, we have provided this analysis, including reasons why the tasks have not been completed. However, ALL tasks are expected to be completed within the two year window.
9. In EPA-designated air quality nonattainment/maintenance areas, does the UPWP clearly list transportation-related air quality Tasks? (FTA Circular 8100.1D (Appendix A, item 2(c))).
Yes, the UPWP lists the transportation related air quality tasks being carried out.

10. Are planning activities proposed for funding under the 49 USC 5307 program or any other FTA or FHWA program listed in the UPWP? (FTA Circular 9030.1E, p. IV-1).

No, there are currently no 5307 funded planning projects or studies listed in the YMPO UPWP. However, there are currently over \$21 million in 5307 funded projects, plus another almost \$750,000 in Surface Transportation Program/Block Grant funds that are being flexed to 5307 funds in FYs 2018 through 2022 of the current five-year Transportation Improvement Program.

Eligibility for Funding

11. Are the work activities in each Task of the UPWP eligible for Federal support? (FTA Circular 8100.1D (p. II-1, item 2.b and 2 CFR 200 (Uniform Guidance)).
Not all work activities are eligible for Federal support. Parts of two tasks are NOT eligible for federal support. In these cases, local funds have been substituted.

Financial Information

12. Is the cost of each Task/Activity identified? (23 CFR 450.308(c)).
Yes, simple answer for a simple question.
13. Are Federal Sources for each Task identified separately, by program? (23 CFR 450.308(c)).
Yes, although some sources are based on sources that are discretionary and need to be applied for and/or are awaiting to be allocated.
14. Are Non-Federal matching funds for each Task listed separately? (23 CFR 450.308(c)).
Yes, these are listed as either in-kind funds or are local cash matching funds.
15. Is funding being carried-over from the prior year UPWP? If so, are amounts listed? (FTA Circular 8100.1D (p. II-4, item 4.f)).
Yes, these are shown in detail.

Supporting Effective Practice:

16. Does the UPWP include activities supporting transition to Performance Based Planning and Programming, such as collection of performance data and development and use of performance targets? (23 CFR 450.306(d)).
Yes, this is an important step in selecting performance based projects.