Yuma Metropolitan Planning Organization

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| 502 South Orange Avenue | Phone: | (928) 783-8911 |
| Yuma, Arizona 85364 | Fax: | (928) 329-1674 |
| [www.ympo.org](http://www.ympo.org/) |  |  |

***Local Governments and Citizens Working Together***

**YMPO Audit RFP - Question and Answer Form 1**

| **Date: 7/28/20** | | **Name of Preparer: Paul Ward** |
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| **Item #** | **Page #** | **Questions and Answers** |
| 1. | 5. | **Question 1.**: In the RFP for the audit services of YMPO for the year ended June 30, 2020, it states that YMPO intends to issue a CAFR. As this is different than prior years, does YMPO intend to switch to a CAFR to obtain a GFOA award ?  **Answer 1.** YMPO has never submitted a request for a CAFR award before. We would like to have estimates from audit companies for how much in additional services it is likely to require to submit for a CAFR. This additional work should be listed as an OPTIONAL add-on item to your estimate, as YMPO may decide to NOT move forward with the CAFR option if it appears to be too expensive. IF a company decides to NOT provide an optional estimate for CAFR application services, the base proposal will STILL be considered for the regular audit services proposal. |
| 2. | Various | **Question 2. a.**: Is there any specific reason for the RFP (any reason for you to consider changing your auditor) ?  **Answer 2. a.**: Our current contract period (usually five years) has expired and we are required to re-advertise.  **Question 2. b.**: Can you tell us what was the fee for the financial statement audit and the single audit in the prior year ?  **Answer 2. b.**: Approximately $23,000 per year for the past four years.  **Question 2. c.**: When do you expect your auditor to perform their field works ?  **Answer 2. c.**: Usually occurs in November/December of each year.  **Question 2. d.**: This year we are planning to perform all our audits remotely. Do you think your documentation and process would support the remote audit procedures ?  **Answer 2. d.**: Most audit work usually occurs off-site, but a site visit of three to four days is usually required, but this is negotiable.  **Question 2. e.**: Do you expect your auditor to compile the draft financial statements ?  **Answer 2. e.**: No, that’s why YMPO has a separate RFQ for accounting services. |
| 3. | Various | **Question 3. a**. What is the reason you are going out for bid ?  **Answer 3.a.**: See Item 2, Answer 2.a.  **Question 3. b**. Do you have any concerns about changing external auditors ?  **Answer 3.b.**: No.  **Question 3. c**. Has YMPO received Cares Act Funding and if so what is the impact on the FY 2020 SEFA ?  **Answer 3.c.**: Yes, and no impact - the amount of CARES Act funding is less than $50,000.  **Question 3. d**. When was the last date of your triennial report from the FTA and where there significant findings ?  **Answer 3.d.**: Not applicable - as an MPO we do not have to carry out a triennial report. We did when the transit agency, YCIPTA, was part of the YMPO team. They are now a separate agency.  **Question 3. e**. What were prior year’s audit fees for the financial/single audit ?  **Answer 2. e.**: See Item 2, Answer 2.b. |